

I hereby certify that this correspondence is being submitted with the U.S. Postal Service as Express Mail, Airbill No. EV48273620, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: Rita Carr
(Rita Carr)

DT07 Rec'd PTO 06 MAY 2004

#12

Docket No.: 51410/P039C2/10401533
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
Paul Edmonds et al.

U.S. Application No.: 09/889,911
International Application No.: PCT/US00/01547

Art Unit: N/A

Filed: January 21, 2000

Examiner: Not Yet Assigned

For: **UNIVERSAL INTERFACE FOR VOICE ACTIVATED
ACCESS TO MULTIPLE INFORMATION
PROVIDERS**

REQUEST FOR ADDITION OF INVENTOR

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request that Stephen Co and David Weinstein be added as inventors on the above-identified patent application. This request is submitted in conjunction with a Response to a Notice of Defective Response.

The Response to the Notice of Defective Response includes the declarations needed to add inventors Stephen Co and David Weinstein to the list of inventors for U.S. Application No.: 09/889,911. Specifically, the Notice of Defective Response includes the following: a statement of lack of deceptive intent by Stephen Co and David Weinstein and a petition by assignee, Openwave Systems, Inc., for addition of the inventors, Stephen Co and David Weinstein.

This patent application should now list nine co-inventors as follows:

Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang
Xu, Priyen Doshi, Stephen Co, David Weinstein, and Andy Chan.

RECEIVED

28 MAY 2004

International Division

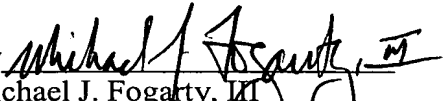
Application No.: 09/889,911

Docket No.: 51410/P039C2/10401533

Please feel free to call me if you have any questions regarding this matter.

Dated: May 6, 2004

Respectfully submitted,

By 
Michael J. Fogarty, III
Registration No.: 42,541
FULBRIGHT & JAWORSKI L.L.P.
2200 Ross Avenue, Suite 2800
Dallas, Texas 75201-2784
(214) 855-8000
(214) 855-8200 (Fax)
Attorney for Applicant



Rec'd T/PTO 06 MAY 2004

PTO/SB/17 (10-03)

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | 09/889,911 |
| Filing Date | January 21, 2000 |
| First Named Inventor | Paul Edmonds |
| Examiner Name | Not Yet Assigned |
| Art Unit | N/A |
| Attorney Docket No. | 51410/P039C2/10401533 |

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account Number

06-2380

Deposit Account Name

Fulbright & Jaworski L.L.P.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 770 | 2001 | 385 | Utility filing fee | |
| 1002 | 340 | 2002 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | -20** = | | |
| Independent Claims | -3** = | | |
| Multiple Dependent | | | |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|--|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | ** Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | Missing Parts | 130.00 |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

(Complete (if applicable))

| | | | | | |
|-------------------|-------------------------|-----------------------------------|-------------|-----------|----------------|
| Name (Print/Type) | Michael J. Fogarty, III | Registration No. (Attorney/Agent) | 42,541 | Telephone | (214) 855-8172 |
| Signature | | Date | May 6, 2004 | | |

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV482736206US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: (Rita Carr)



05/07/04

Rec'd PCT/PTO

Page 1 of 2
06 MAY 2004
PCT
HRT
THP

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

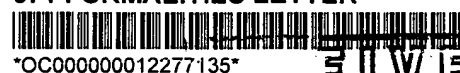
| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/889,911 | Paul Edmonds | 09872-002003 |

26181
FISH & RICHARDSON P.C.
3300 DAIN RAUSCHER PLAZA
MINNEAPOLIS, MN 55402

RECEIVED
APR - 9 2004
PRACTICE SYSTEMS

| | |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. | |
| PCT/US00/01547 | |
| I.A. FILING DATE | PRIORITY DATE |
| 01/21/2000 | 01/21/1999 |

CONFIRMATION NO. 3486
371 FORMALITIES LETTER



Date Mailed: 04/06/2004

APR 22 2004

NOTIFICATION OF DEFECTIVE RESPONSE

HT & JAWORSK
TAB

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- Priority Document
- Copy of the International Application filed on 05/09/2002
- Copy of the International Search Report filed on 05/09/2002
- Copy of IPE Report filed on 05/09/2002
- Preliminary Amendments filed on 05/09/2002
- Information Disclosure Statements filed on 04/02/2002
- Oath or Declaration filed on 09/30/2003
- U.S. Basic National Fees filed on 05/09/2002
- Power of Attorney filed on 09/30/2003

| | |
|------------------------------|-----------------|
| Docketed By Practice Systems | |
| Action Code: | DEFECTIVE RESP. |
| Base Date: | 4-6-04 |
| Due Date: | 5-6-04 |
| Deadline: | 5-6-04 |
| Initials: | JA |
| Record: | |

| | |
|-----------------------------|--|
| Docketed By Billing/Secret. | |
| Due Date: | |
| Deadline: | |
| Initials: | |

Applicant's response filed 09/30/2003 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 07/03/2003 have not been completed.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - Executed by inventors not listed on International Application. Please clarify.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/889,911 | PCT/US00/01547 | 09872-002003 |

FORM PCT/DO/EO/916 (371 Formalities Notice)



Rec'd PCT 10 06 MAY 2004

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|---|------------------------|-----------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/889,911 |
| | Filing Date | January 21, 2000 |
| | First Named Inventor | Paul Edmonds |
| | Art Unit | N/A |
| | Examiner Name | Not Yet Assigned |
| Total Number of Pages in This Submission | Attorney Docket Number | 51410/P039C2/10401533 |

ENCLOSURES (Check all that apply)

| | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Addition of Inventor Response to Notification of Defective Response Petition by Assignee Statement of Lack of Deceptive Intention Stephen Co Statement of Lack of Deceptive Intention David Weinstein Notification of Defective Response Return Postcard |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|--|
| Firm or Individual name | FULBRIGHT & JAWORSKI L.L.P. Michael J. Fogarty, III |
| Signature | |
| Date | May 6, 2004 |

Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV482736206US, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: (Rita Carr)

I hereby certify that this correspondence is being submitted with the U.S. Postal Service as Express Mail, Airbill No. EV48273620, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: May 6, 2004

Signature: _____

(Rita Carr)

Rec'd PCTO

06 MAY 2004

Docket No.: 51410/P039C2/10401533
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:
Paul Edmonds, et al.

Confirmation No.: 3486

U.S. Application No.: 09/889,911

International Application No.: PCT/US00/01547

I.A. Filing Date: 01/21/2000

Examiner: Not Yet Assigned

For: UNIVERSAL INTERFACE FOR
VOICE ACTIVATED ACCESS TO
MULTIPLE INFORMATION
PROVIDERS

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Defective Response dated April 6, 2004, please accept the following response as follows:

The Notification of Defective Response dated April 6, 2004 states that the requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed on July 3, 2003 have not been completed because the current oath or declaration does not comply with 37 CFR 1.497 (a) and (b) because it is executed by inventors not listed on International Application No. PCT/US00/01547.

The pending application was invented by the following co-inventors: Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang Xu, Priyen Doshi, Stephen Co, David Weinstein, and Andy Chan. Upon review of the file, Applicants discovered that two co-inventors, Stephen Co and David Weinstein, were inadvertently left off the list of inventors for International Application No. PCT/US00/01547. These inventors were left out as an inadvertent mistake without deceptive intent. However, these inventors did execute the oath that was filed on September 30, 2003, and thus, the oath of September 30, 2003 appears as though it was executed by inventors not listed on the international application.

Applicants submit with this response Exhibits A-C so that inventors Stephen Co and David Weinstein, who were inadvertently left off of the list of inventors for International Application No. PCT/US00/01547, can be added as inventors on the above-identified patent application.

- Exhibit A is a statement of lack of deceptive intent by Stephen Co, the first of the two missing inventors.
- Exhibit B is a statement of lack of deceptive intent by David Weinstein, the second of the two missing inventors.
- Exhibit C is a petition by assignee, Openwave Systems, Inc., for addition of inventors.

Applicants file in conjunction with this response a request for addition of inventors so that inventors Stephen Co and David Weinstein may be added to the list of inventors for the above identified patent application. In view of the above, it is submitted that the requirements set forth in the Notification of Missing Requirements dated July 3, 2003 is complied with.

Applicants submit herewith a check in the amount of \$130.00 for the processing fee set forth in 37 CFR § 1.17(i) for the declaration submitted in Exhibits A and B in compliance with 37 CFR §1.497(d). However, if the enclosed fee is insufficient or in excess, please

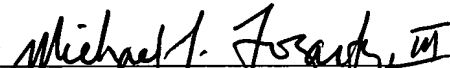
Application No.: 09/889,911

Docket No.: 51410/P039C2/10401533

charge or credit Deposit Account No. 06-2380, under Order No. 51410/P039C2/10401533,
from which the undersigned is authorized to draw.

Dated: May 6, 2004

Respectfully submitted,

By 

Michael J. Fogarty, III

Registration No.: 42,541

FULBRIGHT & JAWORSKI L.L.P.

2200 Ross Avenue, Suite 2800

Dallas, Texas 75201-2784

(214) 855-8000

(214) 855-8200 (Fax)

Attorney for Applicant



PATENT APPLICATION
ATTORNEY DOCKET NO. 51410-P039C2-10401533

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul Edmonds, et al.
Serial No. 09/889,911 Confirmation No. 3486
International Application No. PCT/US00/01547 Art Unit: N/A
Filing Date:
Title: UNIVERSAL INTERFACE FOR VOICE ACTIVATED ACCESS TO MULTIPLE INFORMATION PROVIDERS Examiner: Not Yet Assigned

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

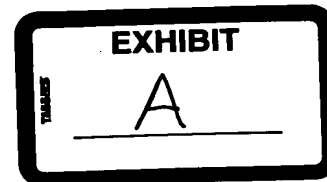
**STATEMENT OF LACK OF DECEPTIVE INTENTION
BY ADDED INVENTOR(s) Stephen Co and David Weinstein**

The above-identified patent application arose from International Application No. PCT/US00/01547 that was originally filed with a coversheet and a declaration that listed Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang Xu, Priyen Doshi, and Andy Chan as co-inventors. That inventor list was in error and should have also included Stephen Co and David Weinstein as additional co-inventors.

I hereby state that the error in naming inventors in the above-identified patent application occurred without deceptive intention on my part.

Date: 4/23/04

Stephen Co
Stephen Co





Rec PCT/PTO 06 MAY 2004

PATENT APPLICATION
ATTORNEY DOCKET No. 51410-P039C2-10401533

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Paul Edmonds, et al.
Serial No. 09/889,911 Confirmation No. 3486
International PCT/US00/01547 Art Unit: N/A
Application No.
I.A. Filing Date: 01/21/2000 Priority Date 01/21/1999
Title: UNIVERSAL INTERFACE FOR
VOICE ACTIVATED ACCESS TO
MULTIPLE INFORMATION
PROVIDERS Examiner: Not Yet Assigned


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

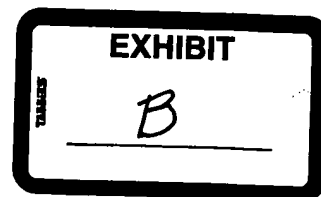
**STATEMENT OF LACK OF DECEPTIVE INTENTION
BY ADDED INVENTOR(S) Stephen Co and David Weinstein**

The above-identified patent application arose from International Application No. PCT/US00/01547 that was originally filed with a coversheet and a declaration that listed Paul Edmonds, Yi Zhang, George Sollman, Michael P. Tel, Chang Xu, Priyen Doshi, and Andy Chan as co-inventors. That inventor list was in error and should have also included Stephen Co and David Weinstein as additional co-inventors.

I hereby state that the error in naming inventors in the above-identified patent application occurred without deceptive intention on my part.

Date: 5/1/2004


David Weinstein





Rec'd PCT/PTG 06 MAY 2004

Docket No.: 51410/P039C2/10401533
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Paul Edmonds et al.

U.S. Application No.: 09/889,911
International Application No.: PCT/US00/01547
Filed: January 21, 2000

Art Unit: N/A
Examiner: Not Yet Assigned

For: UNIVERSAL INTERFACE FOR VOICE
ACTIVATED ACCESS TO MULTIPLE
INFORMATION PROVIDERS

PETITION BY ASSIGNEE FOR ADDITION OF INVENTORS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The above-identified patent application claims priority to International patent application PCT/US00/01547, International filing date January 21, 2000, priority date of January 21, 1999. A declaration was filed in the United States Patent and Trademark Office on September 30, 2003 that listed Paul Edmonds, Yi Zhang, Chang Xu, Priyen Doshi, George Sollman, Andy Chan, Michael P. Tell, David Weinstein, and Stephen Co as co-inventors. This declaration includes inventors Stephen Co and David Weinstein which were inadvertently not named in the list of inventors for the International patent application.

Assignee hereby gives written consent to add Stephen Co and David Weinstein as inventors to the above-named application.

Assignee hereby states that the error in naming inventors in the above-identified application occurred without deceptive intention on Assignee's part.

Assignee: Openwave Systems, Inc.

Date: MAY 4, 2004

By: Alan D. Minsk

ALD-MK

Title: DIRECTOR AND PATENT COUNSEL

Openwave P039C2 Petition by Assignee Adding Inventors.doc

05/13/2004 SHAFARRO 00000107 09389911

01 FC:1460

130.00 DP

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